



*Where Relationships Are the Difference*

## **FORM ADV PART 2B BROCHURE SUPPLEMENT**

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# **CHARLES TAYLOR**

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This brochure supplement provides information about Charles Taylor that supplements the World Equity Group, Inc. brochure. You should have received a copy of that brochure. Please contact the Compliance Department at (847) 342-1700 or [compliance@weg1.com](mailto:compliance@weg1.com) if you did not receive World Equity Group's brochure or if you have any questions about the contents of this supplement.

Additional information about Charles Taylor is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

World Equity Group is a Registered Investment Adviser. Registration with the United States Securities and Exchange Commission or any state securities authority does not imply a certain level of skill or training.

**DATED  
APRIL 29, 2020**



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## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### CHARLES TAYLOR

**Year of Birth:** 1960

#### **Formal Education after High School**

- AAS Business Marketing & Management, Anoka Ramsey Community College

#### **Business Background for the Previous Five Years:**

- 3/13-present, World Equity Group  
Registered Representative & Investment Advisor Representative
- 7/09-2/13, Interwest International, Inc.  
Registered Representative & Investment Advisor Representative
- 11/07-5/09, UBS Financial Services, Inc.  
Registered Representative

#### **Certifications:**

- **Chartered Mutual Fund Counselor (CMFC)**  
This designation is issued by the College for Financial Planning. Candidates must complete a self study course and pass a final designation exam. Once earned, 16 hours of continuing education are required every two years.
- **Chartered Retirement Plans Specialist (CRPS)**  
This designation is issued by the College for Financial Planning. Individuals who hold the CRPS designation have completed a course of study encompassing design, installation, maintenance and administration of retirement plans. Additionally, individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations. Designees renew their designation every two years by completing 16 hours of continuing education.
- **Accredited Wealth Management Advisor (AWMA)**  
This designation is issued by the College for Financial Planning. Candidates study a range of topics including asset allocation, tax reduction alternatives, retirement, risk and return, estate planning, and insurance products. Designees must renew their designation every two years by completing 16 hours of continuing education.
- **Accredited Investment Fiduciary (AIF)**  
This designation is issued by the Center for Fiduciary Studies. Candidates must meet a point based threshold on a combination of education, relevant industry experience and/or professional development. This designation requires 6 continuing education credit hours per year.



## **DISCIPLINARY INFORMATION**

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The Securities and Exchange Commission requires that any significant legal or disciplinary events material to a client's evaluation of the investment advisor representative must be disclosed. If applicable, such events are listed below. Additional information regarding this investment advisor representative is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

This investment advisor representative has no material disciplinary events.

## **OTHER BUSINESS ACTIVITIES**

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Charles Taylor is also a Registered Representative of the affiliated World Equity Group broker/dealer. World Equity Group is a FINRA member firm that also offers securities on a commission basis, including trail fees in the sale of mutual funds and variable annuities. By being dually registered with both the B/D and RIA, conflicts of interest may arise. The investment advisor representative may have an incentive to recommend products based on the compensation received, rather than client's needs. The investment advisor representative may receive a commission on the sale of a product and also charge a management fee. Mr. Taylor also works part-time as a bookkeeper, part time as an IT Consultant and part-time as a musician for hire. Mr. Taylor is also Jr. Gold Hockey Coordinator for Blaine Youth Hockey and he also sells insurance products.

## **ADDITIONAL COMPENSATION**

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None

## **SUPERVISION**

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Each investment advisor representative is supervised through the World Equity Group home office. Prior to each account being opened, a firm supervisory principal reviews each account for suitability and appropriateness of the investment recommendation and/or managed account program. For existing accounts, the activity and recommendations made are monitored through the trade review process and periodic account reviews are completed by firm supervisory principals. The firm's Compliance Department also conducts periodic account reviews and audits each investment advisor representative's office on a regularly scheduled basis. Mark Lishchynsky, Chief Operating Officer, is the person responsible for supervising the advisory activities of Charles Taylor. Mr. Lishchynsky can be reached directly at 847-342-1700, ext. 273 .

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